

#39

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Date: October 10, 1996 By: Emily A. Evans

09 356 322

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: T. D. Shalon and P.O. Brown	§	
	§	
Serial No.: 08/688,488	§	Group Art Unit: Unknown
	§	
Filed: July 30, 1996	§	Examiner: Unknown
	§	
For: Method for Determining the Relative Abundances of Polynucleotide Sequences	§	Attorney Docket No.: STFD 009

**ELECTION UNDER 37 C.F.R. §§ 3.71 and 3.73
AND POWER OF ATTORNEY**

Honorable Commissioner of Patents
and Trademarks
Washington, D.C. 20231

Sir:

The undersigned, being Assignee of the entire interest in the above-identified application by virtue of an Assignment enclosed herewith, hereby elects, under 37 C.F.R. § 3.71, to prosecute the application to the exclusion of the inventors.

The Assignee hereby revokes any previous Powers of Attorney and appoints:

Emily A. Evans, Reg. No. 33,493;
Gerald P. Dodson, Reg. No. 32,787; and,
J. Paul Williamson, Reg. No. 29,600,

each an attorney with the law firm of ARNOLD, WHITE & DURKEE, as its attorney so long as they remain with such law firm, with full power of substitution and revocation, to prosecute the application, to make alterations and amendments therein, to transact all business in the Patent and Trademark Office in connection therewith, to receive any Letters Patent, and for one year after issuance of such Letters Patent to file any request for a certificate of correction that may be deemed appropriate.

Pursuant to 37 C.F.R. § 3.73, the undersigned has reviewed the evidentiary documents, specifically the Assignment to The Board of Trustees of the Leland Stanford Junior University referenced below, and certifies that to the best of my knowledge and belief, title remains in the name of the Assignee.

BOARD OF TRUSTEES OF THE
LELAND STANFORD JUNIOR
UNIVERSITY
1999 APR -9 PM 1:54
AND INTERFERENCES

Please direct all communications as follows:

Emily A. Evans, Esq.
ARNOLD, WHITE & DURKEE
P. O. Box 4433
Houston, Texas 77210-4433
(415) 614-4500

ASSIGNEE: The Board of Trustees of the
Leland Stanford Junior University

Date: Oct. 9, 1996

By: Luis R. Mejia
Name: ~~Katharine Ku~~ Luis Mejia
Title: ^{Acting} Director, Office of Technology Licensing

ASSIGNMENT:

☒ Enclosed for recording
☐ Previously recorded

Date: _____

Reel: _____

Frame: _____



#40

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Patrick O. Brown and
Tidhar Dari Shalon

Serial No.: Not yet assigned

Filed: Herewith

For: Method For Determining the Relative
Abundances of Polynucleotide
Sequences

§ **Group Art Unit:** 1634

§

§

§ **Examiner:** Ardin Marschel

§

§ **Attorney Docket:** STFD:009--1

§

§ (Continuing application of Serial No.
§ 08/688,488, filed July 30, 1996)

EXPRESS MAIL MAILING LABEL

NUMBER: EM540830375US

DATE OF DEPOSIT: November 24, 1998

I hereby certify that this paper or fee is being deposited with
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Commissioner for Patents, Washington D.C. 20231.

Emily A. Evans
Signature

ASSOCIATE POWER OF ATTORNEY

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Please recognize Kenneth D. Goodman, Reg. No. 30,460, as associate attorney of record in
the above-identified application. Please continue to direct all communications regarding this
application to:

BOARD OF PATENT APPEALS
AND INTERFERENCES
1997 NOV -9 PM 1:54

Emily A. Evans, Esq.
ARNOLD WHITE & DURKEE
P.O. Box 4433
Houston, Texas 77210-4433
Telephone: (650) 614-4654
Facsimile: (650) 614-4599

Respectfully submitted,



Emily A. Evans
Reg. No. 33,493

Attorney for Applicants

ARNOLD WHITE & DURKEE
P.O. Box 4433
Houston, TX 77210-4433
(650) 614-4654

Date: November 24, 1998



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

JANUARY 06, 1997

PTAS

ARNOLD, WHITE & DURKEE
EMILY A. EVANS, ESQ.
P.O. BOX 4433
HOUSTON, TX 77210



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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/16/1996

REEL/FRAME: 8208/0821
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SHALON, TIDHAR DARI

DOC DATE: 09/16/1996

ASSIGNOR:

BROWN, PATRICK O.

DOC DATE: 10/03/1996

ASSIGNEE:

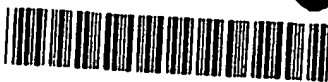
LELAND STANFORD JUNIOR UNIVERSITY, BOARD OF TRUSTEES OF, THE
STANFORD, CALIFORNIA 94305

SERIAL NUMBER: 08688488
PATENT NUMBER:

FILING DATE: 07/30/1996
ISSUE DATE:

MAYA BENNETT, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

10-22-1996



100291576

1996

U.S. Department of Commerce
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record this document or copy thereof.

1. Name of conveying party(ies): Tidhar Dari Shalon
Patrick O. Brown
Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:
☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: September 16, 1996 (T.D. Shalon)
October 3, 1996 (P.O. Brown)

2. Name and address of receiving party(ies):
Name: The Board of Trustees of the Leland
Stanford Junior University

Internal Address:

Street Address:

City: Stanford State: CA Zip: 94305

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration number(s): 08/688,488

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s):

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Emily A. Evans, Esq.
Arnold, White & Durkee
P.O. Box 4433
City: Houston State: Texas Zip: 77210

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. 3.41): \$40.00

☒ Enclosed

☒ Authorized to be charged to deposit account if check insufficient or inadvertently omitted

8. Deposit account number:

01-2508/STFD009/EVE

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Emily A. Evans

Name of Person Signing

Signature

October 10, 1996

Date

Reg. No. 33,493

Total number of pages including cover sheet, attachments and documents: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

160 DM 10/21/96 08688488

1 581

40.00 CK

CERTIFICATE OF MAILING
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the date below:

October 10, 1996
Date

Emily A. Evans

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to **The Board of Trustees of the Leland Stanford Junior University** (the "Assignee"), a corporation of California, having a place of business at Stanford, California 94305, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent Serial No. 08/688,488, which has been filed on July 30, 1996 and is entitled "Method for Determining the Relative Abundances of Polynucleotide Sequences," such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

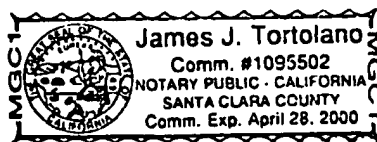
Signature:  Date: 16 Sept 96
Name: Tidhar Dari Shalon

STATE OF CALIFORNIA §
§ ss.
COUNTY OF Santa Clara §

BEFORE ME, the undersigned authority, on this 16 day of Sept, 1996, personally appeared Tidhar Dari Shalon, known to me to be the person whose name subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

[Signature]
Notary or Consular Officer

[SEAL]



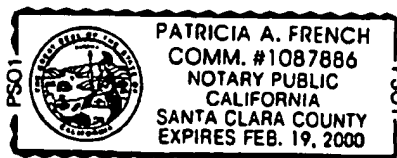
Signature: [Signature] Date: Oct 3, 1996
Name: Patrick O. Brown

STATE OF CALIFORNIA §
§ ss.
COUNTY OF Santa Clara

BEFORE ME, the undersigned authority, on this 3rd day of Oct., 1996, personally appeared Patrick O. Brown, known to me to be the person whose name subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

[Signature]
Notary or Consular Officer

[SEAL]



This is attached to
an Assignment of
good and valuable
consideration - (Patent
STFDO 09